



Selection Procedures U.S. CSIO Teams

4th Edition –January 1, 2019

Modifications highlighted in red, effective January 1, 2019

Selection Procedures for U.S. CSIO Teams

I. ELIGIBILITY -

1. **Citizenship:** The athlete must be a U.S. citizen and in compliance with FEI General Regulations, 23rd Edition, Article 119 (see www.fei.org) at the time of selection, and until the conclusion of the event.
2. **Athlete Age:** The athlete must meet the minimum age requirements for the event outlined in the FEI Jumping Rules.
3. **Horse Age:** The horse(s) must meet the minimum age requirements for the event outlined in the FEI Jumping Rules.
4. **FEI Registration:** The athlete and horse must be registered and in good standing with the FEI at the time of selection and until the conclusion of the event.
5. The athlete and horse must comply with FEI Rules for the event.
6. **USEF Membership:** The athlete must be a USEF member in good standing and the horse must be recorded with USEF pursuant to USEF GR1102, at the time of selection and until the conclusion of the event.
7. **Horse Loan Agreement:** Owners of horses selected for the event must sign the USEF Horse Loan Agreement (Attachment A). A horse owner(s)' failure to sign the USEF Horse Loan Agreement may result in the removal of the horse and/or athlete from the approved list of selected athletes and horses.
8. The athlete shall certify by electronically signing the application that he has received, read, and assumes responsibility for meeting all requirements of the Selection Procedures for a CSIO event. The athlete and horse owner(s) must certify, by signing the Application, that they have read the Selection Procedures for the Team, the USEF Code of Conduct (Attachment B), the USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment C) and the USEF Safe Sport Policy (Attachment D) and that they understand those documents, and that they agree to be bound by them.

- II. APPLICATION** – Applications may or may not be required for athletes wishing to be considered for Team selection for a CSIO event. The USEF Jumping Sport Committee will determine if applications will be required for each event. If applications are not required, the Chef d'Equipe will use the Rolex/USEF Show Jumping Ranking List designated for selection to determine the pool of athletes to be considered for selection. If applications are required to be considered for selection, applications must be received on or before the published deadline. Applications received after the published deadline will not be accepted. Details and information regarding CSIO applications and Rolex/USEF Show Jumping Ranking List dates will be posted on the US Equestrian Jumping website (see www.usef.org/jumping).

III. SELECTION -

Selection for the event: The U.S. Show Jumping Chef d'Equipe will evaluate the athletes and their horse(s) for each event and recommend up to the maximum number athletes and their horse(s) invited to the CSIO, provided that the athletes and

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horse(s) have met the eligibility requirements set forth in Section I. This list will be subject to the recommendation of the USEF Jumping Sport Committee or its designees and the approval of the Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

The U.S. Show Jumping Chef d'Equipe will recommend the athletes and their horse(s) for each CSIO event based on the following methods and factors:

1. Rolex/USEF Show Jumping Ranking List: Athletes and their horses will be named to the Team or Squad, whichever is applicable according to the invitation, based on the athlete's ranking on the designated Rolex/USEF Show Jumping Ranking List with the exception of any athlete and their horse(s) recommended through discretionary selection described below in Section III 2.

If the invitation is for five (5) athletes, a minimum of three (3) athletes will be selected from the top five (5) ranked applicants on the applicable Rolex/USEF Show Jumping Ranking List. The other two (2) athletes will be selected at the recommendation of the Chef d'Equipe based on Discretionary Selection.

If the invitation is for four (4) athletes, a minimum of two (2) athletes will be selected from the top four (4) ranked applicants on the applicable Rolex/USEF Show Jumping Ranking List. The other two (2) athletes will be selected at the recommendation of the Chef d'Equipe based on Discretionary Selection.

Athletes will be selected on their first ranked horse or another horse agreed upon by the U.S. Chef d'Equipe (also see Section IV – Horse Substitution Request).

The Jumping Sport Committee will determine the date of Rolex/USEF Show Jumping Ranking List to be used for selection for each event and this date will be published and posted on the USEF Jumping website (see www.usef.org/jumping).

2. Discretionary Selection: Up to two (2) athletes and their horses may be named to the Team/Squad at the discretion of the U.S. Show Jumping Chef d'Equipe in accordance with the criteria outlined below and provided that the athlete's first ranked horse or another horse agreed upon by the U.S. Chef d'Equipe is available for the event (also see Section IV – Horse Substitution Request).

The U.S. Show Jumping Chef d'Equipe, in evaluating athletes and horses for discretionary selection, will base his evaluations on the following factors:

- a. Current ability of the athlete and horse to meet the standards of the event.
- b. Overall performance, ~~soundness and fitness~~ of the athlete and horse with emphasis on:
 - i. Nations Cup and Grand Prix Show Jumping competitions;

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- ii. The ability to compete without incurring jumping and/or time penalties at the highest level of course difficulty, as well as a record of having done so on a consistent basis;
 - iii. Previous international experience, including the demonstrated ability of the horse and athlete to succeed in either FEI or International Level Nations Cup and Grand Prix Show Jumping competitions within the past 2-3 years;
 - iv. The ability to contribute to a winning performance in a Nations Cup competition at the CSIO event;
 - v. A record of successfully jumping over a variety of types of international level courses, and in particular over courses that included a water jump and tested for carefulness, scope and rideability; and
 - vi. The ability to jump international level courses within the Time Allowed measured at 400 meters/minute.
- c. **The fitness and soundness of the horse.**
- d. **The fitness of the athlete to maximize the performance of the horse.**

For further explanation of terms used above, see page 11 "Definitions."

If the U.S. Show Jumping Chef d'Equipe chooses not to utilize his discretionary choices, the position(s) in question will be filled by the next highest ranked athlete in consideration for selection on the designated Rolex/USEF Show Jumping Ranking List.

3. Substitutes: The U.S. Show Jumping Chef d'Equipe may recommend athletes and their horse(s) based on their ranking on the applicable Rolex/USEF Show Jumping Ranking List as ("Substitutes") for each event provided that the athletes and their horses have met the eligibility requirements set forth in Section I.

The athletes named to the Team/Squad and as Substitutes will not be ranked at the time they are named to the Team/Squad and as Substitutes. The list will be approved with the Team/Squad members and then the Substitutes listed in alphabetical order using the name of the athlete.

Extra Horses - Extra horses will be agreed upon by the Chef d'Equipe for the event in question, according to the horses available for that event, and not according to an athlete's ranking on the Rolex/USEF Show Jumping Ranking List.

Communication with Chef d'Equipe and Team Veterinarian - Athletes named to the Team/Squad will be in communication with the Chef d'Equipe with regard to the preparation, fitness and competition schedule and agree upon a plan for themselves and their horses. In addition, the Team Veterinarian and the athlete's veterinarian will be in communication regarding the soundness and preparation of the horses selected for the Team.

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Veterinary Treatment – ~~All veterinary treatments within 30 days must be recorded and made available to the Team Veterinarian if requested. Once a horse is named to the Team, all veterinary treatments administered within 30 days prior to the event in question must be reported to the Team Veterinarian.~~ Failure to provide a report a veterinary treatment report if requested by the Team Veterinarian ~~within the 30 days prior to the event in question~~ may result in the removal of the athlete and/or horse from the Team/Squad.

FEI Definite Entries - The list of athletes and horses originally approved for the Team/Squad along with the horses agreed upon by the Chef d'Equipe will be submitted as the FEI Definite Entries unless a Substitution is made (see Section VI).

Declaration of Starters – If more than four U.S. athletes are competing at a CSIO, the Chef d'Equipe has the discretion to declare the athletes who will represent the United States in the Nation's Cup. Prior to his declaration of athletes for the Nations Cup, the Chef d'Equipe shall give consideration to the following criteria: past international performance of the horse and athlete (including in classes prior to the Nation's Cup), soundness of horse, and health of athlete. The Chef d'Equipe not only has the discretion to designate which athletes will represent the United States in the Nations Cup, but also on which of their horses these athletes will compete.

IV. OTHER CONSIDERATIONS -

Horse Substitution Request - If an athlete's first ranked horse is not available for any reason and the U.S. Show Jumping Chef d'Equipe is not in agreement with an athlete's substitute horse, an athlete may request in writing to the Jumping Sport Committee or its designees to be considered for selection on this substitute horse. The Jumping Sport Committee or its designees, in evaluating substitute horses, will base their evaluations on the factors outlined in Section III.2. The request will be voted on by the Jumping Sport Committee or its designees after consultation with the Chef d'Equipe and the request must have a majority vote of the Jumping Sport Committee or its designees in order for the recommendation to be forwarded to the Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors for approval. If the Horse Substitution Request is not granted by the Jumping Sport Committee or its designees, the athlete remains eligible for selection on his first ranked horse. If the athlete declines, the next highest ranked athlete in consideration for selection will be named, provided that athlete's first ranked horse or another horse agreed upon by the U.S. Chef d'Equipe is available. Should a named athlete have a second horse appearing higher on the designated Rolex/USEF Show Jumping Ranking List than that of the next athlete in consideration they will automatically retain their position.

Equine Drug Testing - All horses selected for the Team/Squad or as a Substitute for an event are subject to drug testing both in competition and out of competition.

In-Competition. All horses entered to compete in any FEI or USEF competition are subject to drug testing at any time from when the competition begins until the competition ends or beginning when the horse arrives for the competition until the competition ends, whichever beginning occurs first. Blood and/or urine

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samples may be collected and tested for substances prohibited or forbidden under the subject competition rules. National competitions may invoke GR 409 whereby samples will be tested for FEI prohibited substances, including metabolites and markers.

Positive Finding. At any time during the selection period that the presence of a prohibited or forbidden substance, or a metabolite or marker, is detected in the sample collected in-competition, the results, including the level detected for controlled medications, will be reported to the USEF Chief Executive Officer and General Counsel, who will notify the Director of Sport Programs or the Discipline Director for dissemination of information as appropriate. In such instance, the positive finding may result in the removal of the horse and/or athlete from the Team and/or as Substitute. Before removal occurs, the owner and athlete will be afforded a hearing by a panel (“Selection Hearing Panel”) comprised at least one member of the applicable discipline Sport Committee along with at least two members of the Hearing Committee, one of which must be an Eligible Athlete. The Chairman of the USEF Veterinarian Committee, or his designee, may serve Ex Officio and the Team Veterinarian or a Veterinarian approved by the International Discipline Council may serve Ex Officio.

Out-of-Competition. Blood and/or urine samples may be collected out-of-competition at any time once the Team and Substitutes for an event have been named following notification to the athlete at least 24 hours prior to collection of samples. Samples collected from horses out-of-competition will be tested for the presence of substances prohibited by the FEI, including metabolites and markers. Failure to make the horse available for collection of samples may result in the removal of the horse and/or athlete from the Team and/or as Substitute. Before the removal occurs, the owner and athlete will be afforded a hearing by the Selection Hearing Panel.

Positive Finding. At any time during the selection period that the presence of a prohibited or forbidden substance, or a metabolite or marker, in the sample, the results, including the level detected for controlled medications, will be reported to the USEF Chief Executive Officer and General Counsel, who will notify the Director of Sport Programs or Discipline Director for dissemination of information as appropriate. In such instance, the positive finding may result in the removal of the horse and/or athlete from the Team and/or as Substitute unless the Team Veterinarian or a Veterinarian approved by the International Discipline Council that the substance detected has been approved or is legitimate and appropriate under the circumstances. Before removal occurs, the owner and athlete will be afforded a hearing by the Selection Hearing Panel.

This provision does not abrogate the enforcement of applicable equine anti-doping rules at Federation licensed competitions, including competitions where GR 409 is invoked whereby samples will be tested for FEI prohibited substances, including metabolites and markers.

USEF GR409.2 Equine Drugs and Medications, Prohibited Substance Provisions

No horse and/or pony competing in a Breed or Discipline designated as (or part of) a No Prohibited Substance Group is to be shown in any class at a competition licensed by the Federation if it has been administered in any manner or otherwise contained in its tissues, body fluids or excreta a prohibited substance as defined in the FEI Equine Anti-Doping and Controlled Medication Regulations, which can be found at www.fei.org.

Soundness - Horses in consideration for selection for an event and/or have been selected for an event may be examined for soundness at the athlete's expense by the Team Veterinarian or a Veterinarian(s) approved by the International Discipline Council prior to or after selection. Horses found to be unsound by the Team Veterinarian and/or a Veterinarian approved by the International Discipline Council may be eliminated from the Selection Process but only with the approval of the Chef d'Equipe, USEF Jumping Sport Committee, and the Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

V. REMOVAL OF ATHLETES – An athlete may be removed from the Team/Squad or as a Substitute for any of the following reasons, as determined by USEF and in accordance with these procedures:

- Voluntary withdrawal. An athlete must submit a withdrawal in writing to the USEF Jumping Managing Director.
- Injury or illness as certified by a USEF approved physician (or medical staff). If an athlete refuses verification of their illness or injury by a USEF approved physician (or medical staff), their injury will be assumed to be disabling and they may be removed.
- Violation of the USEF's Code of Conduct (Attachment B).
- Failure of the horse owner to sign the Horse Loan Agreement (Attachment A).
- Injury or illness of the horse as determined by the Team Veterinarian and/or a Veterinarian approved by the USEF International Discipline Council. If an athlete and/or horse owner refuses to have the horse examined by the Team Veterinarian and/or a Veterinarian approved by the USEF International Discipline Council, the injury or illness will be assumed to be disabling and the horse may be removed.
- Exceptional circumstances having a direct bearing upon obtaining the best qualified team for the event, but only with the recommendation of the Chef d'Equipe.
- Detection of a prohibited substance in the horse.
- Failure to adhere to all WADA, FEI, USEF, and/or USADA anti-doping protocols, policies and procedures including participation in the out-of-competition testing as required by the WADA, FEI, and/or USADA Rules.
- Failure to adhere to the agreed upon plans and/or directives of the Chef d'Equipe.
- Failure to report a veterinary treatment to the Team Veterinarian within 30 days prior to an event in question.
- Failure to comply with the USEF Safe Sport Policy (Attachment D).

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An athlete removed from the Team or as a Substitute pursuant to this provision has the right to a hearing per the USEF's Bylaws, Article 703 and USEF General Rules, Chapter 6, GR 605 or USOC Bylaws, Section 9.

VI. SUBSTITUTION OF ATHLETES AND HORSES - Substitute athletes and horses will be selected, should an opening occur, according to the following method:

After the Team/Squad and Substitutes have been named, to fill openings if they occur, the Chef d'Equipe will evaluate the athletes and horses named as Substitutes and will have the discretion to make a substitution in accordance with the FEI Rules. The Chef d'Equipe when evaluating the Substitute athletes and horses will base his evaluations on the factors outlined in Section III.2.a and b and will also take into consideration the overall composition of the Team.

Any substitution must have the approval of the Chef d'Equipe and the Jumping Sport Committee must be notified of any substitutions.

Any substitution for veterinary reasons other than voluntary withdrawal must have the approval of the Team Veterinarian who is approved by the USEF International Discipline Council.

Any substitution for medical reasons other than voluntary withdrawal must be documented by a physician(s) who is approved by the USEF International Disciplines Council.

If a selected athlete and/or horse withdraws and all the named Substitutes have been polled and declined the vacant position on the Team, an additional athlete, who did not submit an application in accordance with the deadlines for the event in question (if applicable), may be selected by the Chef d'Equipe to take the vacant position on the Team. The method used to select this athlete and horse will be at the discretion of the Chef d'Equipe with the approval of the Director of Sport and Managing Director of Show Jumping. In the event the Director of Sport and Managing Director of Show Jumping have a conflict of interest, this request will be subject to the approval of the Legal Department and the CEO.

VII. OTHER -

CSI Events- It is possible that CSI events may be included with a Tour of CSIO events and athletes and horses will be selected for the CSIs in question in accordance with the procedure outlined in this document.

Supporting Documents - USEF will retain all approved Selection Procedures and all supporting documents, including evaluation forms and data from the selection process for six months past the date of the final day of the event in question.

Required Documents - The following documents are required to be properly executed by athletes named to the Team and horse owners as a condition of nomination for the event and are included as attachments:

- Horse Loan Agreement (Attachment A)
- USEF Code of Conduct (Attachment B)

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- USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment C)

Athletes named to the Team and horse owners will be required to certify that they have received and read a copy of the USEF Code of Conduct and USEF Safe Sport Policy and that they understand the document.

In addition, all persons participating in any decision made pursuant to the Selection Procedures including but not limited to the Chef d'Equipe, Team Veterinarian and Veterinarians, Physicians, members of the USEF Jumping Sport Committee, or its designees, the International Discipline Council, and the Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors will be required to abide by the USEF Code of Ethics and Conflict of Interest Policies (Attachment E).

Additional Documents – Athletes named to the Team/Squad will be required to read the USEF Athlete Agreement (Attachment F) and are requested to sign this document.

Publicity/Distribution of Selection Procedures - The USEF approved Selection Procedures (complete and unaltered) will be posted/published by USEF in the following locations and will include the USEF approval date when known:

US Equestrian website: www.usef.org/jumping - These procedures will be posted as soon as possible, but not more than 5 business days following notice of approval by the USEF.

Anti-Doping Requirements - The athlete agrees to submit to drug testing by the FEI, WADA, and/or USADA or their designees at any time and understands that the use of methods or substances prohibited by the applicable anti-doping rules subject him to penalties including, but not limited to, disqualification and suspension. If it is determined that an athlete may have committed a doping violation, he agrees to submit to the results management authority and processes of the applicable organization, including arbitration under the USADA Protocol, or to the results management authority of the FEI or WADA, if applicable.

Development of Selection Procedures - The following committees were responsible for creating these Selection Procedures: USEF Jumping Sport Committee, the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors. See www.usef.org for list of USEF committees, their roles, and list of members. In extraordinary circumstances, these procedures may be modified by the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors at the request of the Jumping Sport Committee. In addition, the Jumping Sport Committee may request that another method of selection be used subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

Individuals and Committees who oversee the selection process - The USEF Show Jumping Chef d'Equipe, the USEF Show Jumping Team Veterinarian, USEF Jumping Sport Committee or its designees will oversee the selection process, which process shall be reviewed and approved by the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors (see www.usef.org for list of USEF committees, their roles, and list of members).

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USEF Bylaws and Grievance Procedures - The USEF Bylaws and Grievance Procedures can be found on the USEF's website www.usef.org.

International Disclaimer - These procedures are based on FEI rules and regulations as presently known and understood. Any change in the selection procedures caused by a change in FEI rules and regulations will be distributed to the affected athletes immediately. The selection criteria are based on the latest information available to USEF. However, the selections are always subject to unforeseen, intervening circumstances, and realistically may not have accounted for every possible contingency.

Athlete Ombudsman - Athletes who have questions regarding their opportunity to compete that are not answered by USEF may contact the USOC Athlete Ombudsman, Kacie Wallace by:

- Telephone at (719)866-5000
- Toll free telephone at (888) ATHLETE (1-888-284-5383)
- Email at Kacie.wallace@usoc.org
- www.athleteombudsman.org

Funding Disclaimer- Selection to the Team does not guarantee that funds will be available to send a team.

Disclaimer - See Attachment C – USEF Release, Assumption of Risk, Waiver and Indemnification.

XVIII. NGB SIGNATURES - I certify that I have read, understand and incorporated our IF standards/criteria into our Selection Procedures and that the information provided herein regarding these Selection Procedures represents the method approved by the United States Equestrian Federation.

Position	Print Name	Signature	Date
USEF President	Murray Kessler		1/19/2019
USEF Jumping Managing Director	Lizzy Chesson		1/19/2019

Any questions regarding these Selection Procedures should be addressed to Lizzy Chesson, USEF Managing Director, Show Jumping Programs, United States Equestrian Federation, PO Box 83, Gladstone, NJ 07934, Phone (859)225-7689, Email: lchesson@usef.org.

Approved by the USEF Jumping Sport Committee on: 12.17.18

Approved by the Ad Hoc Selection Group approved by the Board of Directors on: 1.18.19.

DEFINITIONS

Consistently – The ability of the horse and athlete to produce low-scoring rounds over a high percentage of the time and in a variety of conditions and venues.

Carefulness – The ability of the horse and athlete to consistently avoid jumping penalties over a wide variety of obstacles, in particular those constructed to fall down easily.

Scope – The ability of the horse and athlete to successfully negotiate high and wide oxers from both long and short distances.

Rideability – The ability of the horse and athlete to negotiate a variety of complicated distance problems on course, as would typically be encountered at the highest international level.

International Experience – A competitive record over the past 2 or 3 years indicating that the horse and/or athlete have successfully met the challenges of FEI international events, while demonstrating an ability to perform under pressure on a consistent basis.

Squad – A group of five (5) athletes and horses selected to compete at a CSIO when the invitation is for five (5) athletes. Four (4) of those athletes will compete on the Team for the Nations Cup and the fifth (5th) athlete is eligible to compete in the other competitions at the CSIO event.

Succeed in FEI or International Level Nations Cup and Grand Prix Show Jumping Competitions – The ability of the athlete/horse combination to consistently produce low scoring rounds in CSIO's, CSI's and International Level USEF Competitions.

Team – A group of four (4) athletes and horses selected to compete at a CSIO when the invitation is for four (4) athletes. These four (4) athletes and their horses will compete on the Nations Cup Team.