

UNITED STATES EQUESTRIAN FEDERATION, INC.
ATHLETE SELECTION PROCEDURES
2019 Pan American Games
EVENTING
Lima, Peru

USOC Review Date: January 21, 2019

I. SELECTION SYSTEM

A. Minimum eligibility requirements for an athlete to be considered for selection to the Team:

- 1. Nationality/Passport Requirements:** The athlete must be a national of the United States and in compliance with FEI General Regulations, 23rd Edition, Article 119 (see www.fei.org) at the time of application, selection, and until the conclusion of the 2019 Pan American Games Eventing Competition (“the Event”). Athletes must hold a valid U.S. passport that does not expire until after January 26, 2020.
- 2. Minimum International Olympic Committee (IOC), International Paralympic Committee (IPC) and/or Pan American Sport Organization (PASO) (PAG and PPAG only) standards for participation:**
 - a.** Any competitor in the Olympic, Paralympic, Pan American or Parapan American Games must be a national of the country of the National Olympic Committee (NOC) or National Paralympic Committee (NPC) which is entering such competitor. For additional information regarding an athlete who is a national of two or more countries, has changed his or her nationality or acquired a new nationality, refer to the IOC Charter (Rule 41), the IPC Handbook (Section 2, Chapter 3.1), or the PASO Statutes (Article XXII, Sections 4 and 5).
- 3. Minimum International Federation (IF) Requirements and/or Continental Federation (CF) (PAG and PPAG only):**
 - a.** All athletes and horses wishing to compete on the 2019 Pan American Games Eventing Team (“Team”) must earn a FEI Certificate of Capability between January 1, 2018 and the June 25, 2019 by having achieved one qualifying result at a Concours Complet d’Equitation CCI3*-L, CCI4*-L or CCI5*-L to be eligible to compete in the Event (see FEI Rules for Eventing, [Edition – updates effective 1 January 2019], Article 517). The rules can be found on: <http://www.fei.org/fei/games/cont-regional/panam-2019>;
 - b.** The athlete must have been born before January 1, 2001 (see FEI Rules for Eventing, [25th Edition – updates effective 1 January 2019] Article 503.2);
 - c.** A horse must be a minimum of six (6) years of age (the age is counted from January 1 of the year of birth, see FEI Rules for Eventing, [25th Edition – updates effective 1 January 2019] Article 503.4);
 - d.** The athlete and horse must be registered with the FEI.
 - e.** The athlete and horse must comply with FEI Rules for the Event.
- 4. Other Requirements:**
 - a.** The athlete must be an active member of USEF.
 - b.** The horse must be recorded with USEF pursuant to USEF GR1102,
 - c.** Application - Athletes wishing to be considered for the Team must complete an online Application (Attachment A) with the appropriate application fee at www.usef.org. Applications and fees submitted online on or before March 1, 2019 at 23:59 CET will be

charged \$70 per application. No applications will be accepted after March 1, 2019 at 23:59 CET.

- d. USEF Horse Loan Agreement: Owners of horses named to the FEI Nominated Entry, as described in I.B below, must sign the USEF Horse Loan Agreement (Attachment B). A horse owner(s)' failure to sign the USEF Horse Loan Agreement may result in the removal of the horse/athlete combination from the FEI Nominated Entry.
- e. The athlete shall certify by electronically signing the application that he has received, read, and assumes responsibility for meeting all requirements of the Selection Procedures for the Event. The athlete and horse owner(s) must certify, by signing the Application (Attachment A), that they have received, understand, and have read the Athlete Selection Procedures for the Team, the USEF Code of Conduct (Attachment C), the USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment D), and the USEF Safe Sport Policy (Attachment E) and that they understand those documents, and that they agree to be bound by them.
- f. Horse owner(s) eligible to be accredited for the Event must be an Active USEF member in good standing at the time of the Event until the conclusion of the Event.
- g. Athletes must have successfully completed all Games Registration requirements by stated deadline, unless the athlete requests for an extension of the deadline due to an extraordinary circumstance. Any extension must be approved by the USOC.

B. Selection Trials

1. **Below are the event names, dates and locations of all Selection Trials, and/or Preparation Events to be used as part of the selection process:** Athlete/horse combinations wishing to be considered for the FEI Nominated Entry must complete, or must have already completed, at least one of the following Selection Trials. The 2018 and 2019 Selection Trials, as published to all potential 2019 Pan American Games applicants on February 10, 2018 (See Attachment G) and updated in **BOLD** on publication of these procedures, include the following:

2018

The Event at Rebecca Farm CCI2* and CCI3*	July 18-22
Land Rover Burghley Horse Trials (GBR) CCI4*	August 30- September 2
Ssang Yong Blenheim Palace International Horse Trials (GBR) CCI3*	September 13-16
Boekelo-Enschede Horse Trials (NED) CCI3*	October 11-14
The Dutta Corp Fair Hill International CCI3* and CCI2*	October 17-21
The Virginia CCI/CIC & Horse Trials CCI2*	November 1-4
Galway Downs International Event CCI3* and CCI2*	November 1-4
The Ocala Jockey Club 3-Day Event CCI2* and CCI3*	November 15-18

2019

Twin Rivers CCI3*-L	April 10-14
Ocala International 3-Day Festival of Eventing CCI3*-L	April 10-14
Land Rover Kentucky 3-Day Event CCI5*	April 24-28
Mitsubishi Motors Badminton Horse Trials (GBR) CCI5*	May 1-5
Jersey Fresh International 3-Day Event CCI3*-L and CCI4*-L	May 8-12
Tattersalls International CCI3*-L and CCI4*-L (IRE)	May 29-June 2
Bromont CCI 3-Day Event (CAN) CCI3*-L and CCI4*-L	June 5-9

CCI3*-L and CCI4*-L not listed above and between July 18, 2018 to June 9, 2019 may be considered as Selection Trials but only with the approval of the Selectors and the Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee. Any rider wishing to use a CCI3*-L and CCI4*-L not listed as a Selection Trial must make the request in writing to the USEF Managing Director of Eventing no less than 30 days prior to the start of the competition.

Additional competitions may be identified as Selection Trials should it become necessary. Notification will be given at least 30 days prior to the start of the Selection Trial.

All of the athlete/horse combinations on the Team (see I.C.1) will be required to demonstrate their continued preparation, soundness, and ability by competing at Preparation Event(s) except as explained below. By no later than May 1, 2019, all details regarding the Preparation Event(s) will be posted on the USEF website, www.usef.org. At present, it is anticipated that the Preparation Event(s) will be a CCI3*-S, an Advanced Horse Trial(s) or Advanced Combined Test (s) to be held sometime after June 15, 2019.

In exceptional circumstances, the Selectors may grant a waiver for all or part of the requirements if it is in the perceived best interests of the results of the Team. Waivers may be granted for combinations who have limited competition results during the initial qualifying period (July 18, 2018 – June 9, 2019) but have earned high scores.

- 2. Provided below are the event names, dates, locations and description of how athletes qualify for any “preliminary or qualifying” events or procedures that are prerequisites to attend any of the events listed above:** Athletes must qualify for the Selection Trials per the FEI Rules for Eventing 25th Edition. The FEI rules may be found at www.fei.org.

C. Provided below is a comprehensive, step-by-step description of the method that explains how athletes will go through the selection process to become team nominees (include maximum Team size).

- 1. By no later than June 17, 2019** - By no later than June 17, 2019, the Selectors, in consultation with the Chef d'Equipe and the Team Veterinarian (and members of the Veterinary Advisory Group if requested), will recommend at least 4 athlete/horse combinations to be named to the Team and up to the maximum number of alternates able to be nominated on the nominated entry for recommendation to the USEF Eventing Sport Committee or an Ad Hoc group approved by the Eventing Sport Committee and the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors. The selectors may name “direct reserve horse(s)” for an athlete(s) named to the team. A direct reserve horse would be an automatic replacement should the original horse on which an athlete was named have to be substituted. The Team named to the USOC Final Entry will be the group named here unless they are removed for one of the reasons in Section III “Reasons for Removal.”

These combinations will make up the FEI Nominated Entry which will be forwarded to the FEI by no later than June 26, 2019 (23:59 CET).

Reserve List: In addition to recommending the FEI Nominated Entry, the Selectors, in consultation with the Chef d'Equipe and the Team Veterinarian (and members of the Veterinary Advisory Group if requested), may recommend athletes to serve as substitute (see Definitions on page 14) athlete/horse combinations for the FEI Nominated Entry, upon the recommendation of the USEF

Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors. The Selectors, in evaluating athlete/horse combinations to be named to the Team and the alternates, will base their evaluations on the following factors (“Factors”) (in no priority order):

- i. The overall performance and soundness of the athlete/horse combination in those 2018/2019 competitions designated as Selection Trials with emphasis on dressage scores, current fitness, cross country, and show jumping performance: jumping without faults and/or incurring time penalties, boldness, rideability, and speed;
- ii. The previous international experience of the athlete/horse combination, preference may be given to athlete/horse combinations to who have demonstrated ability to succeed in multiple international eventing competitions;
- iii. Athlete’s fitness;
- iv. The athlete/horse combination’s will to win and the suitability of the combination to win an individual medal or contribute to a team medal at the Event; and
- v. The willingness of the athlete to work within the confines of the Event accreditation allocation and restrictions.

Chef d’Equipe Permissions: Once athlete/horse combinations have been named to the Team, they will come under the control of the Chef d’Equipe through the conclusion of the Event. The athletes must discuss their training, competition, and coaching schedule with the Chef d’Equipe. The Chef d’Equipe will approve the schedule and deviations must be communicated to and approved by the Chef d’Equipe.

2. **June 26, 2019: FEI Nominated Entries** – By no later than the date published by the FEI, the list of at least 4 athlete/horse combinations named to the Team and up to the maximum number of horses and athletes able to be nominated under FEI rules for the Event (the “FEI Nominated Entry”) will be recommended by the Selectors to the USOC and/or FEI as required, in consultation with the Chef d’Equipe and the Team Veterinarian (and members of the Veterinary Advisory Group if requested), to the USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee and the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors. The FEI Nominated Entry will be forwarded to the FEI by no later than **June 26, 2019**.

In addition to recommending the FEI Nominated Entry, the Selectors, in consultation with the Chef d’Equipe and the Team Veterinarian (and members of the Veterinary Advisory Group if requested), may recommend applicants to serve as substitute (see Definitions on page 19) athlete/horse combinations for the FEI Nominated Entry, upon the recommendation of the USEF Eventing Sport Committee and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors.

Once the Nominated Entry for the Event has been announced but prior to its submission to the FEI (no later than **June 26, 2019**), Nominated Entry athlete/horse combinations may be substituted with replacement athlete/horse combinations for any of the Reasons for Removal as listed in I.C.2. Any substitutions for veterinary reasons must also have the approval of the Team Veterinarian (and members of the Veterinary Advisory Group if requested). Any substitutions for medical reasons must also be documented by a physician(s) approved by the USEF International Disciplines Council. Please refer to Section IV for further details regarding replacement of athletes.

Chef d'Equipe Permissions: Once athlete/horse combinations have been submitted on the nominated entries, they will remain under the control of the Chef d'Equipe through the conclusion of the Event. They will submit their training schedule to the Chef d'Equipe for approval and must submit deviations from the approved schedule to the Chef d'Equipe immediately. They shall not compete any horse without the permission of the Chef d'Equipe. An athlete must have the approval of the Chef d'Equipe for the athlete/horse combination to work with a coach or trainer other than the Chef d'Equipe.

- 3. JUNE 17 2019: USOC Final Entries** – By no later than the closing date for the USOC Final Entries for the Event, the current fitness, soundness, and ability of athlete/horse combinations on the Nominated Entry will be evaluated by the Selectors to determine if they are able to meet the standards for the Event; e.g., dressage scores, distance, size and complexity of obstacles, and the required speed to avoid time penalties. At this time, the Selectors, in consultation with the Chef d'Equipe and the Team Veterinarian (and members of the Veterinary Advisory Group if requested) will nominate the four athlete/horse combinations to the Team from the Nominated Entry to the USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee and the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors for entry into the Event. In addition to nominating the Team, the Selectors, in consultation with the Chef d'Equipe and the Team Veterinarian (and members of the Veterinary Advisory Group if requested), will also recommend to the USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee and the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors substitute horse/athlete combinations to serve as the traveling reserve(s).

The Selectors' evaluation will be based on the Factors-outlined in I.C.1.

Based upon the Selectors' evaluation and their nominations, the recommendation of the USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee, and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors, the USEF will nominate to the USOC and/or FEI as required the Team of four horse/athlete combinations to be entered under the FEI rules for the Event.

In addition to nominating the Team, based upon the Selectors' evaluation and the recommendation the USEF Eventing Sport Committee or an Ad Hoc Selection Group approved by the Eventing Sport Committee and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors, additional athlete/horse combinations will be approved from the FEI Nominated Entry to serve as replacement athlete/horse combinations for the Team.

Following the acceptance of the Nomination by USOC, the athlete/horse combinations named to the Team can only be replaced as outlined in Section III.A.

- 4. Mandatory Training** - All Team and Alternate athlete/horse combinations may be required to participate in Mandatory Training except as explained below. By no later than May 1, 2019, relevant details of the Mandatory Training session(s) will be circulated to the Team and Alternates. At present it is envisioned that a Mandatory Training session(s) will be held sometime after June 15 in the United States.

An athlete/horse combination that is not able to participate in the Mandatory Training Session(s)

because of veterinary, medical, or family emergencies or for logistical reasons may request special consideration for selection by submitting a written request prior to or during the Mandatory Training Session(s) to the Selectors who will approve or disapprove the request based on the circumstances, facts, and, in the case of illness or injury to horse or athlete, documentation by a member of the Team Veterinarian (and members of the Veterinary Advisory Group if requested) or physician(s) approved by the USEF International Discipline Council or its designees. In the event the Selectors disapprove the applicant's request, the athlete/horse combination must participate in the Mandatory Training or they will no longer be considered for selection.

- 5. Preparation Event(s)** - All of the athlete/horse combinations on the Team (see I.C.1) will be required to demonstrate their continued preparation, soundness, and ability by competing at a Preparation Event(s), except as explained below. By no later than April 15, 2019 all details regarding the Preparation Event(s) will be posted on the USEF website, www.usef.org. At present, it is anticipated that the Preparation Event(s) will be a CCI3*-S, an Advanced Horse Trial(s) or Advanced Combined Test (s) to be held sometime after June 15.

In exceptional circumstances, the Selectors may grant a waiver for all or part of the requirements if it is in the perceived best interests of the results of the Team. Waivers may be granted for combinations who have limited competition results during the initial qualifying period (July 18, 2018 – June 9, 2019) but have earned high scores.

- 6. After the acceptance of the Final Entry by the USOC and until one hour before the First Horse Inspection:** After the submission of the USOC Final Entry and until one hour before the Final Horse Inspection, the current fitness, soundness, and the ability of athlete/horse combinations on the Team and alternates for the Event will again be evaluated by the Selectors to determine if they are able to meet the standards for the Event; e.g., dressage scores, distance, size and complexity of obstacles, jumping without incurring faults, and the required speed to avoid time penalties. The Selectors will consult with the Chef d'Equipe and the Team Veterinarian (and members of the Veterinary Advisory Group if requested).

The Selectors' evaluation will be based on the following factors (in no priority order): the overall performance and soundness of the athlete/horse combination in those 2018/2019 competitions designated as Selection Trials/Tryout Events, Mandatory Training Session(s), Preparation Event, (following consultation with the Chef d'Equipe), with emphasis on cross country performance, including faults, boldness, rideability, and speed; the previous international experience of the athlete/horse combination, including the demonstrated ability of the athlete/horse combination to succeed in Eventing competitions; and the prospect of the athlete/horse combination to win an individual medal or contribute to a team medal at the Event.

Team members may only be replaced by the USOC with replacement athlete/horse combinations in accordance with Article 510 of the FEI Regulations for Eventing 26th Edition and according to the following criteria: for veterinary or medical reasons, or for breach of the USEF or USOC Codes of Conduct or the USEF Safe Sport Policy, upon the recommendation of the Chef d'Equipe, the Selectors, the USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee, and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors physically present at the Event venue. In the event none of the aforementioned is available, the Equestrian Chef de Mission and/or the USOC Chef de Mission will approve the replacement.

- 7. August 1, 2019: Declaration of Starters** – After the First Horse Inspection, the Chef d’Equipe in consultation with the Team Veterinarian will declare the Team of four (4) athlete/horse combinations that will compete in accordance with the FEI Regulations.
- 8. After the Declaration of Starters** and up to two (2) hours before the schedule starting time of the first Dressage Test: After the Declaration of Starters and up to two (2) hours before the starting time of the Dressage Phase, USEF has the ability to replace athletes and/or horses on the Team for reasons set forth in Section III.B. During this time period, Team members may only be replaced with the Individual. However, the reason for a substitution must only be because either, the athlete is unfit to compete **due to injury or illness** or there is a strong veterinary case for substitution or the performance of the Team member has dropped off to such an extent that for the success of the Team a substitution is vital. The latter situation will almost certainly occur as a result of a veterinary problem which it may or may not be possible to define given the time/resources available at the time. Replacements may only be made by recommendation of the Chef d’Equipe, with approval of the Eventing Team Leader and U.S. Equestrian Chef de Mission for reasons set forth in Section III.B. Any replacement for veterinary reasons must be upon the recommendation of the Chef d’Equipe and must also have the approval of the Team Veterinarian. Any replacement for medical reasons must be documented by a physician(s) physically present at the Event venue.

D. Provided below are the names of all committees/groups who oversee the selection process, including the name(s) and role(s) of their members: The USEF Eventing Selectors, USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee or an Ad Hoc Selection Group approved by the Eventing Sport Committee and the USEF Eventing Chef d’Equipe will oversee the selection process, which process must be reviewed and approved by the USEF Board of Directors or an Ad Hoc Selection Group approved by the USEF Board of Directors. (See Attachment J for list of USEF committees, their roles, and list of members).

E. Other Considerations:

1. Equine Drug Testing: All applicant horses are subject to drug testing both in competition and out of competition.

In-Competition. All horses entered to compete in any FEI or USEF competition are subject to drug testing at any time from when the competition begins until the competition ends or beginning when the horse arrives for the competition until the competition ends, whichever beginning occurs first. Blood and/or urine samples may be collected and tested for substances prohibited or forbidden under the subject competition rules. National competitions may invoke GR 409 whereby samples will be tested for FEI prohibited substances, including metabolites and markers.

Positive Finding. At any time during the selection period that the presence of a prohibited or forbidden substance, or a metabolite or marker is detected in the sample collected in-competition, the results, including the level detected for controlled medications, will be reported to the USEF Chief Executive Officer and General Counsel, who will notify the Director of Sport Programs or Discipline Director for dissemination of information as appropriate. In such instance, the positive finding may result in the elimination of the horse and/or athlete from the Selection Process. Before elimination occurs, the owner and athlete will be afforded a hearing by a panel (“Selection Hearing Panel”) comprised at least one member of the USEF Eventing Sport Committee along with at least two members of the Hearing Committee, one of which must be an Eligible Athlete. The Chairman of the USEF Veterinarian Committee, or his designee, may serve Ex Officio and a member of the Veterinarian Panel selected for the Event may serve Ex Officio.

Out-of-Competition. Blood and/or urine samples may be collected out-of-competition at any time throughout the selection period, which is defined as commencing on June 10, 2019 following notification to the applicant at least 24 hours prior to collection. Samples collected from horses out-of-competition will be tested for the presence of substances prohibited by the FEI, including metabolites and markers. Failure to make the horse available for collection of samples may result in the elimination of the horse and/or athlete from the Selection Process. Before elimination occurs, the owner and athlete will be afforded a hearing by the Selection Hearing Panel.

Positive Finding. At any time during the selection period that the presence of a prohibited or forbidden substance, or a metabolite or marker, in the sample, the results, including the level detected for controlled medications, will be reported to the USEF Chief Executive Officer and General Counsel, who will notify the Director of Sport Programs or Discipline Director for dissemination of information as appropriate. In such instance, the positive finding may result in the elimination of the horse and/or athlete from the Selection Process unless the Veterinarian Panel appointed for selection of the Event certifies that the substance detected has been approved or is legitimate and appropriate under the circumstances. Before elimination occurs, the owner and athlete will be afforded a hearing by the Selection Hearing Panel.

This provision does not abrogate the enforcement of applicable equine anti-doping rules at Federation licensed competitions, including competitions where GR 409 is invoked whereby samples will be tested for FEI prohibited substances, including metabolites and markers.

Also see the section on Veterinarian Treatment, a violation of which may result in elimination under this provision. Before elimination occurs in either instance, the owner and athlete will be afforded a hearing by the Selection Hearing Panel.

USEF GR409.2 Equine Drugs and Medications, Prohibited Substance Provisions

No horse and/or pony competing in a Breed or Discipline designated as (or part of) a No Prohibited Substance Group is to be shown in any class at a competition licensed by the Federation if it has been administered in any manner or otherwise contained in its tissues, body fluids or excreta a prohibited substance as defined in the FEI Equine Anti-Doping and Controlled Medication Regulations, which can be found at www.fei.org.

2. Soundness - Horses that are candidates for selection for the Event may be examined at any time during the selection process, which is defined as commencing on June 16, 2019, for soundness by a member of the Team Veterinarian (and members of the Veterinary Advisory Group if requested).

Following the Selection Trial in which they compete and again following the Preparation Event(s), all horses considered for selection will be examined for soundness by the Team Veterinarian (or a member of the Veterinary Advisory Group). Athletes may have their own veterinarian present at their own expense. Horses found unsound by the Team Veterinarian (or a member of the Veterinary Advisory Group) may result in the elimination of the horse/athlete combination from the Selection Process. Such elimination is determined by the unanimous recommendation of the Selectors as well as the recommendation of the Chef d'Equipe and the USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee, and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

In addition, all horses selected to the Team will be examined for soundness by the Team Veterinarian (and members of the Veterinary Advisory Group if requested) prior to traveling to the Event. Horses found unsound by Team Veterinarian (or a member of the Veterinary Advisory Group) may result in the elimination of the horse/athlete combination. Such elimination is determined by the unanimous recommendation of the Selectors as well as the recommendation of the Chef d'Equipe and the USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee, and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

3. Team Veterinarian Request – Applicants, *at their own expense*, must make available, upon the request of the Team Veterinarian, 24 months' worth of complete veterinary records of horses considered for selection. In addition, applicants, *at their own expense*, are responsible for supplying any follow-up diagnostic information requested by the Team Veterinarian for horses considered for selection. Applicants, *at their own expense*, will also be responsible for completing any treatment prescribed by the Team Veterinarian for horses considered for selection. Failure to comply with any request of any member of the Team Veterinarian may result in the removal of the horse/athlete combination from the selection process by the Selectors upon the recommendation of the Team Veterinarian (and members of the Veterinary Advisory Group if requested) and the USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

4. Veterinary Treatment – Once the Team is announced, by no later than June 19, 2019, and prior to the departure of horses to the Pan American Games venue for purposes of competing in the Event, athletes on the Team or those named as alternates to the Team will be required to obtain written permission from the Team Veterinarian, prior to Team horses or those named as alternates to the Team obtaining treatment by any person other than a member of the Team Veterinarian (or a member of the Veterinary Advisory Group). This permission must be obtained in writing. All USEF veterinary logbooks must be kept up-to-date and made available to the Team Veterinarian on request.

Failure to adhere to this policy may result in the removal of the horse/athlete combination from the selection process by the Selectors upon the recommendation of the Team Veterinarian (and members of the Veterinary Advisory Group if requested) and the USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors. Failure to adhere to the directives of the Team Veterinarian may result in the removal of the horse/athlete combination from the selection process by the Selectors upon the recommendation of the Team Veterinarian (and members of the Veterinary Advisory Group if requested) and the USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

5. Medical Request – In the event of illness or injury, applicants may be required to submit to a medical examination by physicians(s) approved by the USEF International Disciplines Council at any time during the selection process for the purposes of determining the applicant's ability to compete at the Event that is the subject of the selection. Failure to submit to such examination may result in the removal of the applicant from the selection process by the Selectors upon the recommendation of the USEF approved physician(s) and the USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors. Following the examination should the

USEF physician(s) find the applicant to be unfit to compete the applicant will be removed from the selection process upon the recommendation of the USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee, and subject to the approval of the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.

6. The athlete agrees to submit to drug testing by the FEI, WADA, and/or USADA or their designees at any time and understands that the use of methods or substances prohibited by the applicable anti-doping rules subject him to penalties including, but not limited to, disqualification and suspension. If it is determined that an athlete may have committed a doping violation, he agrees to submit to the results management authority and processes of the applicable organization, including arbitration under the USADA Protocol, or to the results management authority of the FEI or WADA, if applicable.

II. DISCRETIONARY SELECTION (if applicable)

A. Rationale for utilizing discretionary selection (if any): The option of discretionary selection is used to field the best Team possible for the Event.

B. Discretionary criteria and explain how it will be used:

See Section I.D.

C. Discretionary Selection Committee

1. Names of the committee(s) that will be responsible for discretionary selection.

- USEF Eventing Selectors
- USEF Eventing Sport Committee or an Ad Hoc Group
- USEF Eventing Chef d'Equipe
- USEF Board of Directors or an Ad Hoc Selection Group
- USEF Eventing Team Veterinarian and members of the Veterinary Advisory Group

2. Complete list of the members of the committee(s), their titles and their NGB role that qualifies them to serve in this capacity: See Attachment J for list of USEF committees, their roles, and list of members. See Attachment H for list of USEF Team Veterinarian and the Veterinary Advisory Group.

Selectors: Five (5) individuals (the "Selectors") will be appointed by the USEF International Disciplines Council upon the recommendation of the USEF Eventing Sport Committee or an Ad Hoc Group approved by the Eventing Sport Committee. Selectors are subject to the USEF Code of Conduct and Code of Ethics, Conflict of Interest policies of the USEF (Attachment C) and the USEF Safe Sport Policy (Attachment E). These Selectors are members of the Eventing community who are knowledgeable and experienced in Eventing at the international level, and are senior members in good standing with USEF, including one Eventing Eligible Athlete Representative as defined by the USOC (see Definitions on page 17) but who is not an applicant for the 2019 Pan American Games Eventing Team (the "Eligible Athlete Representative Selector"). In making their decision, the Selectors will consult with the USEF Chef d'Equipe and the Team Veterinarian recommended by the USEF Eventing Sport Committee or its designees and approved by the USEF International Disciplines Council.

There will be a minimum of 20% Eligible Athlete representation on the panel of Selectors.

Ad Hoc Selection Group - The Ad Hoc Selection Group approved by the Board of Directors. One member must be an Eligible Athlete.

Any Selector that has a possible conflict of interest must disclose it. If such conflict exists, the Selector must recuse him/herself from discussions and voting. Further, Selector should not otherwise influence other Selectors in the nomination process. However, a Selector who recused him/herself, but who has relevant and necessary information with respect to athlete performance, for example a national team coach or Sport Director, may, if requested by the selection committee, provide such information to the committee so long as such information is provided in a fair and unbiased manner and the Selector who declared the conflict of interest does not vote toward the final decision.

III. REMOVAL OF ATHLETES

A. Prior to the acceptance of the nomination by the USOC, USEF has jurisdiction over potential nominees:

An athlete may be removed from the FEI Nominated Entries for any of the following reasons, as determined by the USEF and in accordance with the procedures:

- Voluntary withdrawal. Athlete must submit a written letter to the USEF CEO.
- Injury or illness as certified by a USEF approved physician (or medical staff). If an athlete refuses verification of their illness or injury by a USEF approved physician (or medical staff), their injury will be assumed to be disabling and they may be removed.
- Violation of the USEF Code of Conduct (Attachment C).
- Violation of the USOC Code of Conduct and Grievance Procedures (Attachment L).
- Failure of an owner to sign the Horse Loan Agreement (Attachment B).
- Injury or illness of the horse as determined by the Team Veterinarian (and members of the Veterinary Advisory Group if requested). If an athlete and/or horse owner(s) refuses to have the horse examined by the Team Veterinarian (and members of the Veterinary Advisory Group if requested), the injury or illness will be assumed to be disabling and the horse may be removed.
- Exceptional circumstances having a direct bearing upon obtaining the best qualified team for the Event, but only with the recommendation of the Chef d'Equipe and Selectors
- Detection of a prohibited substance in the horse.
- Failure to comply with the USEF Safe Sport Policy (Attachment E)
- Failure to adhere to all WADA, FEI, USEF and/or USADA anti-doping protocols, policies and procedures including participation in the out-of-competition testing as required by WADA, FEI, USEF and USADA Rules.
- Failure to comply with any request of the Team Veterinarian (or a member of the Veterinary Advisory Group).
- Failure to obtain written permission from the Team Veterinarian or a member of the Veterinary Advisory Group prior to the horse receiving veterinary treatment by any veterinarian who is not the Team Veterinarian or a member of the Veterinary Advisory Group.
- Failure to submit a written request not to attend the mandatory training session(s) prior to the start of the mandatory training session(s), if possible, but no later than the conclusion of the training session, to the Selectors.
- Failure to submit a written request not to attend the Preparation Event(s) prior to the start of the Preparation Event, if possible, but no later than the conclusion of the Preparation Event, to the Selectors.
- Failure to train or prepare the horse for the Event as directed by the Chef d'Equipe.
- Loss of form or failure to show readiness in or out of competition, as determined by the majority decision of the selectors and with the approval of the Chef d'Equipe. Loss of form is defined as: deterioration in performance, demonstrated inability to produce results at the level at which the combination was performing at the time at which they were selected. Failure to show readiness is defined as: the athlete's performance is not at the level necessary to remain on the Team.

In these procedures, the removal of an athlete is closely related to the process by which they will be substituted. Therefore, the reasons for which an athlete may be removed are thoroughly addressed in Section IV.

An athlete removed from the FEI Nominated Entry or Team pursuant to this provision has the right to a hearing under the USEF's Bylaws, Article 703 and USEF General Rules, Chapter 6, GR 605 or USOC Bylaw, Section 9.

B. After acceptance of nomination by the USOC: The USOC has jurisdiction over the Team under the USOC Code of Conduct and Grievance Procedures (Attachment L). This occurs no earlier than 45 days and later than 30 days prior to the Opening Ceremonies of the Games (unless expressly waived by the USOC).

An athlete may be removed from the USOC Final Entries or Team at any time for the following reasons:

- Voluntary withdrawal. An athlete must submit a written letter to the USEF CEO.
- Violation of WADA, FEI, USEF and USADA anti-doping protocol, policies and procedures. In such instances, the adjudication process will be managed through the United States Anti-Doping Agency.
- Injury or illness as certified by a USEF approved physician (or medical staff).
- Injury or illness of the horse as determined by the Team Veterinarian (and members of the Veterinary Advisory Group if requested).
- Violation of the USEF Code of Conduct (Attachment C).
- Violation of the USOC Code of Conduct and Grievance Procedures (Attachment L).
- Failure to comply with the USEF Safe Sport Policy (Attachment E).
- Sudden loss of form indicating that the performance of the athlete/horse combination at the Games may not be equal to the form demonstrated at the time of selection.

C. An athlete may be removed from the FEI Nominated Entries, FEI Definite Entries, Team, or USOC Final Entry at any time for violation of IOC, WADA, FEI, USEF, and/or USADA anti-doping protocol, policies and procedures. In such instances, the adjudication process will be managed through USADA.

IV. SUBSTITUTION OF HORSE/ATHLETE COMBINATIONS

A. Following is the process by which the substitute/replacement pool of athletes will be identified: The list of athletes on the FEI Nominated Entry will comprise the pool of substitute athlete/horse combinations.

B. Substitution/replacement athletes and horses will be selected, should a vacancy occur, according to the following method:

1. **Prior to acceptance of Nominated Entry by the FEI:** Once the Team for the Event has been announced and prior to the submission of the Nominated entries (by no later than July 17, 2019) Team Members and substitutes may be substituted with substitute horse/athlete combinations for any of the reasons outlined in III.A (reasons for removal). If an athlete on the team has been named with a "direct reserve horse" and the horse on which they were initially named to the team had to be substituted, the horse will be replaced by the direct reserve horse. Any substitutions for veterinary reasons must also have the approval of the Team Veterinarian (and members of the Veterinary Advisory Group if requested). Any substitutions for medical reasons must also be documented by a physician(s) approved by the USEF International Disciplines Council.

- 2. Prior to acceptance of the Final Entries by the USOC (After the FEI Nominated Entries and prior to USOC Final Entries):** After the Team and substitutes for the Event have been announced and prior to the closing date for USOC Final Entries, Team members may only be substituted with substitute athlete/horse combinations for veterinary or medical reasons, or for breach of the USEF Code of Conduct or Safe Sport Policy, or for failure to sign the USEF Horse Loan Agreement, or other exceptional circumstances having a direct bearing upon obtaining the best qualified team for the event, but only with the approval of the Chef d'Equipe and with the concurring approval of at least three of the five Selectors, one of whom shall be the Eligible Athlete Selector, and designated members of the Eventing Sport Committee, International Disciplines Council, the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors and the USOC. Any substitutes for veterinary reasons must also be approved by the Team Veterinarian (and members of the Veterinary Advisory Group if requested). Any substitutes for medical reasons must also be documented by a physician(s) approved by the International Disciplines Council.
- 3. After acceptance of the USOC Final Entries and until one hour before the First Horse Inspection:** After acceptance of the USOC Final Entries and up until one hour before the First Horse Inspection, the athletes and/or horses named to the Definite Entries may be removed for reasons set forth in Section III. B. During this time period, athlete/horse combinations on the Team may be replaced with a Reserve athlete/horse combination or a horse on the FEI Nominated Entries. Replacements may only be made by recommendation of the Chef d'Equipe, with majority approval of the Selectors, and in accordance with the FEI Eventing Rules 25th Edition and/or for reasons set forth in Section III.B and subject to approval by the U.S. Equestrian Chef de Mission. To fill openings, if they occur, the Chef d'Equipe, in consultation with the Selectors and Team Veterinarian will evaluate the athletes and horses named to the Team, the Reserve List, and the FEI Nominated Entries and may recommend that a replacement be made. The Chef d'Equipe and Selectors when evaluating athletes and horses will base their evaluations on the factors outlined in Section I.C.1.b. Any substitution for veterinary reasons must be upon the recommendation of the Chef d'Equipe and must also have the approval of the Veterinary Panel approved by the USEF International Disciplines Council. Any substitution for medical reasons must be documented by a physician(s) who is approved by the USEF International Disciplines Council.
- 4. Last Date of Substitution** will be 2 days before the Horse Inspection unless an earlier date is specified in the Approved Schedule or is required due to logistical restrictions. The date will be published to all athletes selected to the FEI Nominated Entry List as soon as it can be decided upon. To clarify, horses will only be allowed to travel to the event location on horse transportation approved by the Managing Director of Eventing.
- 5. Declaration of Starters:** Will be done in accordance with Article 529 of the FEI Rules for Eventing 25th Edition. At this point the Chef d'Equipe will declare the starting order within the Team.
- 6. After the Declaration of Starters** and up to two (2) hours before the scheduled starting time of the first Dressage Test: After the Declaration of Starters and up to two (2) hours before the starting time of the Dressage Phase, Team members may only be replaced with the Individual Athlete. However, the reason for a substitution must only be because either, the athlete is unfit to compete due to injury or illness or there is a strong veterinary case for substitution or the performance of the Team member has dropped off to such an extent that for the success of the Team a substitution is vital. The latter situation will almost certainly occur as a result of a veterinary problem which it may or may not be possible to define given the time/resources available at the time. Replacements may only be made by

recommendation of the Chef d'Equipe, with approval of the Eventing Team Leader and U.S. Equestrian Chef de Mission, and in accordance with the FEI Rules for Eventing or for reasons set forth in Section III.B. Any replacement for veterinary reasons must be upon the recommendation of the Chef d'Equipe and must also have the approval of the Team Veterinarian. Any replacement for medical reasons must be documented by a physician(s) physically present at the Event venue who is approved by the USEF International Discipline Council or the USOC.

C. Group or committee that will be responsible for making athlete substitution determinations:

1. **Group or committee who determines the substitute pool:** USEF Eventing Selectors, USEF Eventing Chef d'Equipe USEF Eventing Team Veterinarian the Veterinary Advisory Group as requested, USEF Eventing Sport Committee or an Ad Hoc Group approved by Eventing Sport Committee and the USEF Board of Directors or an Ad Hoc Selection Group approved by the Board of Directors.
2. **Group or committee who determines a replacement to the team:**
 - a. **Prior to acceptance of the Final Entries by the USOC:**

By the USEF upon recommendation of the U.S. Equestrian Chef de Mission, Chef d'Equipe, USEF Eventing Selectors and USEF Team Veterinarian and the Veterinary Advisory Group as requested.
 - b. **After acceptance of the USOC Final Entries and until one hour before the First Horse Inspection:**

By the USEF upon recommendation of the By the USEF upon recommendation of the U.S. Equestrian Chef de Mission, Chef d'Equipe, USEF Eventing Selectors and USEF Team Veterinarian and the Veterinary Advisory Group as requested.

V. SUPPORTING DOCUMENTS – USEF will retain all supporting documents, including evaluation forms and data from the selection process for six months past the date of the Closing Ceremonies of the 2019 Pan American Games.

VI. REQUIRED DOCUMENTS – The following documents are required to be properly executed by applicants and horse owners as a condition of nomination for the Event and are included as attachments:

- Application (Attachment A)
- Horse Loan Agreement (Attachment B)
- USEF Code of Conduct (Attachment C)
- USEF Release, Assumption of Risk, Waiver and Indemnification (Attachment D)
- USEF Code of Ethics (Attachment K)
- USOC Code of Conduct and Grievance Policy (Attachment L)

Applicants and horse owners will be required to certify that they have received and read a copy of the USEF Code of Conduct and USEF Safe Sport Policy and that they understand the document. Once the nominated athlete/horse combinations have been approved by the USOC, athlete are under the jurisdiction of the USOC Code of Conduct and Grievance Procedures and agree to be bound by them.

In addition, all persons participating in any decision made pursuant to the Selection Procedures including but not limited to the Selectors, the Team Veterinarian and members of the Veterinary Advisory Group if requested, Physicians, members of the USEF Eventing Sport Committee, USEF Eventing Sport Committee or an Ad Hoc Group approved by Eventing Sport Committee, the International Disciplines Council, and the Board of

Directors or an Ad Hoc Selection Group approved by the Board of Directors will be required to abide by the USEF Code of Ethics and Conflict of Interest policies (Attachment K).

Reference is made to the attached USEF Code of Ethics and Conflict of Interest Policies for a full and accurate statement of the requirements of that policy. In particular, however, no individual may participate in a particular phase of the selection process if, after full disclosure, the individual is found by the applicable committee to have a disqualifying conflict of interest in the particular phase of the selection process in question per the attached USEF Code of Ethics and Conflict of Interest policies.

VII. ADDITIONAL DOCUMENTS - Applicants will be required to read the USEF Athlete Agreement (Attachment I) and are requested to sign this document.

VIII. PUBLICITY/DISTRIBUTION OF PROCEDURES – The USEF Board of Directors approved Selection Procedures (complete and unaltered) will be posted/published by USEF in the following locations and will include the USEF approval date when known:

- USEF website: www.usef.org - These procedures will be posted as soon as possible, but not more than 5 business days following notice of approval by the USEF Board of Directors.
- Other: The Selection Procedures and Application Packet will also be available on the USEF's website www.usef.org and by mail upon request.

IX. DATE OF NOMINATION – The FEI Nominated Entries will be submitted to the FEI no later than June 26, 2019. The USOC Final Entries will be submitted to the USOC no later than June 17, 2019.

X. MANDATORY TRAINING AND/OR COMPETITION -

The location, schedule and duration of Mandatory Outing and Training will be posted on the USEF website no later than April 15, 2019.

XI. ANTI-DOPING REQUIREMENTS – The athlete agrees to submit to drug testing by the FEI, WADA, and/or USADA or their designees at any time and understands that the use of methods or substances prohibited by the applicable anti-doping rules subject him to penalties including, but not limited to, disqualification and suspension. If it is determined that an athlete may have committed a doping violation, he agrees to submit to the results management authority and processes of the applicable organization, including arbitration under the USADA Protocol, or to the results management authority of the FEI or WADA, if applicable.

XII. DEVELOPMENT OF SELECTION PROCEDURES – The following committees/groups were responsible for creating these Selection Procedures: USEF Eventing Eligible Athletes Committee, USEF Eventing Sport Committee, the International Disciplines Council and the USEF Board of Directors. See Attachment J for list of USEF committees, their roles, and list of members.

XIII. USEF BYLAWS AND GRIEVANCE PROCEDURES – The USEF Bylaws and Grievance Procedures can be found on the USEF's website: www.usef.org.

XIV. INTERNATIONAL DISCLAIMER – These procedures are based on FEI rules and regulations as presently known and understood. Any change in the selection procedures caused by a change in FEI rules and regulations will be distributed to the affected athletes immediately. The selection criteria is based on the latest information available to USEF. However, the selections are always subject to unforeseen, intervening circumstances, and realistically may not have accounted for every possible contingency.

If any force of nature, or force majeure, should cause the alteration or cancelation of any of the selection events listed in this document, these selection procedures will be revised, pursuant to their resubmission to the USEF.


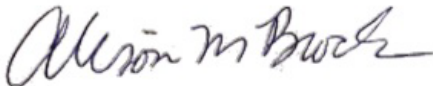

XV. ATHLETE OMBUDSMAN – Athletes who have questions regarding their opportunity to compete that are not answered by USEF may contact the USOC Athlete Ombudsman, Katie Wallace by:

- Telephone at (719) 866-5000
- Toll-free telephone at (888) ATHLETE (1-888-284-5383)
- E-mail at kacie@usathlete.org
- www.athleteombudsman.org

XVI. FUNDING DISCLAIMER - Selection to the Team does not guarantee that funds will be available to send a team.

XVII. DISCLAIMER – See Attachment D – USEF Release, Assumption of Risk, Waiver and Indemnification.

XVIII. NGB SIGNATURES – I certify that I have read, understand and incorporated our IF standards/criteria into our Selection Procedures and that the information provided herein regarding Athlete Selection Procedures represents the method approved by the United States Equestrian Federation.

Position	Print Name	Signature	Date
USEF President	Murray Kessler		2/1/19
USOC Athlete's Advisory Council Representative*	Allison Brock		2/1/2019
Managing Director, Eventing	Joanie Morris		2/1/2019

Any questions regarding these Selection Procedures should be addressed to Joanie Morris, USEF Managing Director, Eventing, United States Equestrian Federation, 4047 Iron Works Pkwy, Lexington, KY 40511; (859) 225-2052 or by email: jmorris@usef.org.

Approved by the USEF Eventing Sport Committee on: December 8, 2018

Approved by the USEF International Disciplines Council on: December 20, 2018

Approved by the USEF Board of Directors on: December 21, 2018

Approved by the USOC on: January 31, 2019

DEFINITIONS

BOLDNESS – Whether the horse/athlete combination moves forward with confidence, aggression, and vigor demonstrating a positive attitude. When presented with a question (any situation that the horse/athlete must answer to successfully negotiate the test, e.g., an obstacle, change of terrain, handling crowds, jumping into water, etc.), whether the horse/athlete combination answer the question with the same positive attitude.

EVENTING ATHLETE REPRESENTATIVE – *An athlete will be considered an Eventing Athlete Representative as defined by the USEF in Part IV-Athletes, Bylaw 401 of its Bylaws as eligible for membership on a “designated committee” if he/she has: s:*

- i) Within the past ten (10) years, represented the United States in the Olympic or Pan American Games, or an Operation Gold event, or a World Championship recognized by the FEI for which a competitive selection process was administered by the USEF

and/or

- ii) Within the past twenty-four (24) months, demonstrated that they are actively engaged in amateur athletic competition by finishing in the top half of the NGB’s national championship or a team selection competition for the events specified in paragraph (i) above.

FITNESS – whether the horse competed at a high level more frequently than is consistent with delivering a peak performance at the event for which selection is being made. Whether the horse demonstrated in training the modicum of preparation necessary for preparedness for the rigors of the event for which selection is being made.

INTERNATIONAL EXPERIENCE - a competitive record over the past 2 - 3 years that indicates that the horse/athlete combination has successfully met the challenges of FEI international events, demonstrating an ability to perform under pressure consistently

LOSS OF FORM - deterioration in performance, demonstrated inability to produce results at the level at which the combination was performing at the time at which they were selected

RIDEABILITY – whether the horse exhibits in all three tests - dressage, cross-country, and show jumping - that it responds readily to the aids (directions) of the athlete as a result of his training; whether he moves forward, slows down, turns, and jumps any obstacle with composure, obedience, and confidence in himself and the athlete.

SPEED - evidence that in the international record of the horse/athlete combination, and in the final trials for the Team, that the horse/athlete combination shows the ability to complete the Event distances at the speed demanded.

SUBSTITUTE – replacing a horse/athlete combination after the USEF has named the Team.